## **DAILY SURVEILLANCE REPORT**

(This is in compliance with Stock Exchanges Surveillance Rule,	2012. The report must filed by closed day.)		
TRADE DATE:	TRADE NUMBER:		
Time market opened:	Time market clo	sed	
DATE OF REPORT: Date Filed:			
MARKET EVENT	DETAILS OF EVENT	ACTION TAKEN	
Trade reporting violations			
Trading halts/suspension (details)			
Failed trade			
Delisting			
Listing			
Delayed trade settlements			

IRREGULARITIES (among others)	DETAILS OF IRREGULARITIES	
Noted for follow-up		
Backing away		
Matched orders /prearranged trade		
Wash trades/prearranged trade		
Marking the close		
Marking the open		
Price manipulations		
<ul> <li>Trading away from best price</li> </ul>		
Orders that move the market		
Large price movement etc		
Volume manipulations		
<ul><li>Large block trades</li><li>Large orders</li></ul>		
Order imbalance		
Unusual volume etc		
Spreading false info./rumours		
Unauthorized person using the trading system		
Authorised person not available at the firm		
Member or customer complaint		
Request for cancellation of trade		
Cross trade		
Automatic sanctions		
Alerts (attach A)		

Note: Attach Official list
Please note that these will be inspected during on-site surveillance.
DECLARATION
I/We certify that the above information is true and accurate
Name:
Designation:
Date: