



*Ensuring Investor Protection*

SECURITIES AND EXCHANGE COMMISSION

**PUBLIC NOTICE**

**DEADLINE FOR SUBMISSION OF CLAIMS FOR GOVERNMENT BAILOUT  
(NOTICE NO. SEC/PN/002/06/2024)**

The Securities and Exchange Commission (SEC) is the statutory body set up by the Securities Industry Act, 2016 (Act 929), as amended by the Securities Industry (Amendment) 2021 (Act 1062), with the object of regulating, innovating, and promoting the growth and development of an efficient, fair, and transparent securities market in which investors and the integrity of the market are protected.

The SEC wishes to inform all customers of Fund Management Companies (FMCs) affected by the revocation of licenses exercise in November 2019 that the deadline for submission of claims for validation is Tuesday, 9<sup>th</sup> July 2024.

To be eligible for the voluntary bailout package offered by the Government, affected customers must have validated claims and must have accepted the Government-sponsored bailout package. The SEC urges all eligible customers to submit their claims via the email address below to ensure that they receive the Government bailout based on their validated claims. A copy of the claim submission form is attached to this notice.

For further information and assistance with the claims submission process, please contact the sole SEC-appointed agent, PricewaterhouseCoopers (Ghana) LTD (“PwC”), via email at [gh\\_fundmanagers@pwc.com](mailto:gh_fundmanagers@pwc.com) or by phone at +233 (0) 242 439 453.

The SEC remains committed to protecting the interests of investors and ensuring the stability and integrity of the Ghanaian capital market.

This Notice is issued pursuant to sections 3(i)(m) and 208(c) of the Securities Industry Act, 2016 (Act 929) as amended.

**ISSUED BY ORDER OF  
THE SECURITIES AND EXCHANGE COMMISSION (SEC)  
DATED: JUNE 24, 2024**

**SECURITIES AND EXCHANGE COMMISSION (SEC)**

..... (Name of company whose license has been revoked)

**PROOF OF DEBT STATEMENT**

I / we .....

Of ..... Telephone.....

Email address: .....confirm to say:

That I am / we are the under-mentioned customer, and that it is within my / our own knowledge that the deposit / investment was made with the above mentioned company whose license has been revoked, and that the deposit / investment credit balance, to the best of my / our knowledge and belief, still remains unpaid and unsatisfied.

That the above-named Company was, at the date of its license revocation on 8 November 2019, indebted to.....(name of Depositor),

in the sum of.....(GHe)

in respect of.....(nature of transaction(s) giving rise to the claim eg. Fixed Investment, other deposit) as shown by the following account.

**Part 1 - Brief Particulars**

Fixed Investment Holder(s) Account Name	Account No.	Type of Account	Outstanding Credit balance (GHS)	Amount owed by Depositor to company (if any) (GHS)
<b>Total</b>				

**Part 2 - Detailed Particulars**

Please provide full particulars of the transactions supporting the debts which are summarised above. These will include for example, copies of Investment Certificates, Investment Contract Documents, Account statement, and other documentation as appropriate. For individuals, also attach Photocopy of investors' nationally recognised Photo ID (the following are acceptable: passport, driver's licence, Voter's ID, National ID), Notarised Power of Attorney (if applicable) and Letters of Administration (for persons submitting claims of deceased Investors)

**Part 3 – Mode of Receipt of Payment (Please tick one)**

Mobile Money Number  Over the Counter  Cheque  Open Bank Account at Recipient Bank

SIGNED on this .....day of.....20..

In the presence of

Name.....

.....

Position.....

.....

Signature.....

Commissioner for Oaths