

THE SECURITIES AND EXCHANGE COMMISSION, GHANA

PUBLIC NOTICE ON UNLICENSED INVESTMENT PRODUCT BY GOLDEN EMPIRE LEGACY LIMITED AND LOOM SEC/PN/007/06/2019

This Public Notice is issued pursuant to Sections 3(b)(f) and 208 of the Securities Industry Act, 2016 (Act 929).

The General Public is hereby put on notice that GOLDEN EMPIRE LEGACY LIMITED AND LOOM have not been licensed by the Securities and Exchange Commission (SEC) to carry out any capital market activity as mandated by section 3 of the Securities Industry Act, 2016 (Act 929).

GOLDEN EMPIRE LEGACY LIMITED AND LOOM are therefore not SEC regulated entities. The General Public is advised to be cautious with investing in unlicensed products. The general public is further advised to consult the SEC through its toll free line on 0800100065 or main line 0302768970-2 to confirm the licensing status of any firm offering products or services relating to the Capital Market.

The Commission wishes to assure all market operators, investors and the general public that it is committed to ensuring rigorous enforcement of all the rules for operators in the capital market in order to promote the growth and development of an efficient, fair and transparent securities market in which investors and the integrity of the market are protected.

ISSUED BY ORDER OF THE SECURITIES & EXCHANGE COMMISSION (SEC)

DATED: JUNE 20, 2019