



'Ensuring Investor Protection'

## THE SECURITIES AND EXCHANGE COMMISSION

### **PUBLIC NOTICE TO ISSUERS, MARKET OPERATORS AND INVESTORS ON SUBMISSION OF 2019 AUDITED ANNUAL FINANCIAL STATEMENTS.**

(NOTICE NO. SEC/PN/002/03/2020)

The Securities and Exchange Commission (hereinafter referred to as “SEC”) is the statutory body mandated by the Securities Industry Act 2016 (Act 929) to promote the orderly growth and development of an efficient, fair and transparent securities market in which investors and the integrity of the market are protected.

The SEC issues this NOTICE to Issuers and Market Operators on a dispensation on the deadline for the submission of audited annual financial statements against the background of the disruption created by the outbreak of the Coronavirus (COVID-19) pandemic.

Issuers of securities to the public and Market Operators are required to submit their audited annual financial statements to the Commission, not later than three months after the close of their financial year in accordance with Regulation 54 and 33 of L.I. 1728, except Collective Investment Schemes which are required to submit their audited annual financial statements to the Commission and circulate the annual Investor’s Report to their shareholders/unit holders not later than four months after the close of the financial year in accordance with Regulation 50 (2) of L.I.1695.

Issuers and Market Operators who would not be able to meet the deadline for submission of their audited annual financial statements to the Commission and circulation of same to investors may apply to the Commission for extension of time **before the expiry of the submission deadline.**

All such requests for extension should be submitted electronically to the following email addresses: [info@sec.gov.gh](mailto:info@sec.gov.gh); [funds@sec.gov.gh](mailto:funds@sec.gov.gh); [issuers@sec.gov.gh](mailto:issuers@sec.gov.gh); [brokerdealers@sec.gov.gh](mailto:brokerdealers@sec.gov.gh). You may call our toll free line 0800100065 or main lines: 0302768970-2 for any clarification you may need.

This **NOTICE** is issued pursuant to sections 3 and 208 of the Securities Industry Act, 2016 (Act 929

**BY ORDER OF THE**

**SECURITIES & EXCHANGE COMMISSION.**

**Dated the 26<sup>th</sup> March, 2020**