



'Ensuring Investor Protection'

THE SECURITIES AND EXCHANGE COMMISSION

PUBLIC NOTICE ON DEADLINE FOR ON-GOING SUBMISSION OF INVESTMENT CLAIMS WITH FUND MANAGEMENT COMPANIES WHOSE LICENCES HAVE BEEN REVOKED

NOTICE NO. SEC/PN/016/11/2019

Pursuant to Section 122 (2) of the Securities Industry Act, 2016 (Act 929), the Securities and Exchange Commission (SEC) on **8th November 2019** revoked the licences of 53 Fund Management Companies (“FMCs”).

The SEC wishes to inform the general public that the submission of claims exercise which began **Monday, 18th November, 2019**, is scheduled to end on **Wednesday, 18th December, 2019**.

To facilitate the process, the Commission has made available, a form at https://sec.gov.gh/claims_form.pdf for download and completion.

Investors are advised to visit the **designated areas** at the CBG branches with valid ID cards, evidence of investment claims and completed forms. Copies of the forms will also be available at the designated CBG branches.

Non-resident investors are required to issue notarised Power of Attorney to representatives for submissions to be made on their behalf.

Only authorised agents in the designated areas are to receive documents from investors. No claims are to be submitted to CBG staff. Please note that the working hours for the CBG branches are from **8:30am to 4:30pm, Mondays to Fridays**.

Investors may send email to the Agent at revocations@sec.gov.gh or call the following numbers for any clarification:

0302960197 / 8/9
0302960208 / 9/10
0302960023 / 4
0302953518 / 9

**BY ORDER OF
SECURITIES AND EXCHANGE COMMISSION
DATED THE 26TH NOVEMBER, 2019**