

## SECURITIES AND EXCHANGE COMMISSION

## PUBLIC NOTICE BY THE SECURITIES AND EXCHANGE COMMISSION IN RESPECT OF COMPANIES GOING ON VOLUNTARY CESSATION OF BUSINESS (NOTICE NO. SEC/PN/001/01/2019)

This Notice is issued pursuant to Sections 3(b) (f) (m) and 208 (c) of the Securities Industry Act, 2016 (Act 929).

The Securities and Exchange Commission wishes to bring to the notice of the general public that the under listed licensed operators engaged in fund management activities in the capital market (Fund Managers), have voluntarily requested to cease operation for various reasons.

- HFC Capital Partners Limited
- Attai Capital Limited
- Serengeti Capital Limited
- Indigo Investment Management Limited
- Verit Investment Advisory Limited
- Waxson Investment and Pension Management Limited

The Commission has, after a thorough assessment of the circumstances, approved their requests. The above companies are therefore not mandated to carry out any Fund Management activities. Consequently, the Commission wishes to inform the general public that anyone who engages in any transaction with any of these companies or their representatives, in their capacity as Fund Managers could be exposing him/herself to avoidable risk.

The Commission hereby assures all investors, market operators and the general public that it is committed to ensuring rigorous enforcement of all the rules for operators in the capital market in order to promote the growth and development of an efficient, fair and transparent securities market in which investors and the integrity of the market are protected.

ISSUED BY ORDER OF THE SECURITIES & EXCHANGE COMMISSION (SEC) DATED: JANUARY 29, 2019